# EXHIBIT 15

# The 30(b)(6) Deposition of

# **ENOCHIAN BIOSCIENCES DENMARK Aps**

Through

# **LUISA PUCHE**

In the Matter of

# ROBERT WOLFE, ET AL

versus

# **ENOCHIAN BIOSCIENCES INC., ET AL**

Taken On

**JUNE 24, 2022** 



# UNITED STATES DISTRICT COURT DISTRICT OF VERMONT

CASE NO.: 2:21-cv-00053

\* \* \* \* \*

ROBERT WOLFE AND CROSSFIELD, INC.

**VERSUS** 

ENOCHIAN BIOSCIENCES INC., ET AL

The 30(b)6 deposition of ENOCHIAN BIOSCIENCES

DENMARK ApS, through it's representative LUISA PUCHE,
taken in connection with the above-captioned cause,
pursuant to the following stipulations at a
videoconference deposition taken on the 24th of
June 2022, beginning at 10:45 a.m.

#### BEFORE:

Caitlyn J. Connelly, CCR, CVR

Certified Court Reporter

In and for the State of Louisiana

- and then Robert Wolfe's termination.
- 2 A. Yes.

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Q. In this -- in this document, it's talking about the consulting agreement between Robert Wolfe and Enochian BioSciences Denmark. As Enochian BioScience Incorporated US, is it your position as a company, that there was a contract with Robert Wolfe?

#### MR. VALENTE:

Objection.

- A. It's my understanding there was a consulting agreement.
- 13 BY MR. MCCABE:
  - Q. Was that consulting agreement with one company or both companies?

#### MR. VALENTE:

- 17 I'll object, but go ahead.
- 18 A. I believe it was with Denmark, but it was to serve both companies as CFO.
- 20 BY MR. MCCABE:
- Q. In that agreement, was there different duties and obligations Robert Wolfe and Crossfield owed to the different companies?
- A. In my review of that contract, I did not think so. It describes him to be CFO for both, and

- that it enumerated his responsibilities. Or at least -- there's never a exhaustive list, but the main duties.
  - Q. So it's Enochian BioSciences US's position that, that contract applied equally to both companies?
- 7 A. Yes.

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- 8 Q. In -- from your earlier deposition your, I
  9 guess -- is the company aware that a Vermont
  10 Superior Court ruled differently?
- 11 A. I'd have to see the document for the exact
  12 verbiage you're asking for.
  - Q. Give me one second, let me pull another document up.

15 You can refresh, please.

(Exhibit 3 was marked for

identification.)

- 18 A. Sorry, just having a little trouble finding it.
- 19 I have the document up.
- 20 BY MR. MCCABE:
- Q. Okay. I'm going to direct you to -- it's the
- paging of the document. So starting on the
- bottom of the second page, we have 1 to page 6
- of the document.
- 25 A. Okay.

1 MR. VALENTE:

Objection, but go ahead.

- A. I believe the board of directors of Enochian Inc., looks at the company as a totality. So I don't know that it made a distinction, but rather it's part of the organizational structure.
- 8 BY MR. MCCABE:

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- Q. Do you know if the board ever considered the contract with Enochian -- Robert Wolfe having different duties between the two Enochian entities?
- A. It's my understanding that it was the same duties for both, and that the contract covered both entities.
- 16 Q. I'm going to share a new exhibit.
- 17 (Exhibit 4 was marked for
- 18 identification.)
- 19 A. Okay.
- 20 BY MR. MCCABE:
- 21 Q. Excuse me. If you could refresh, please?
- 22 A. Sure. Okay.
- Q. I believe this was attached to one of Enochian's filings in the State Court action.
- 25 It's a 2019 Enochian board calendar, that's

it's attorney-client privileged, if it's from the lawyers, that's protected.

#### BY MR. MCCABE:

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- Q. So for clarification, it's not the information coming from the attorneys, it's the information coming from Mark Dybul, Evelyn D'An, Henrik and Rene.
- A. I don't recall a formal meeting. So without going through all my emails, I think it was piecemeal.
- Q. Is it fair to say that each of them were contacting you either through email or through phone, and communicating about the underlying facts of that litigation?

#### MR. VALENTE:

And objection. To the extent there's attorney-client communications involved, just don't -- don't share those. You can answer the question otherwise.

A. My recollection is that it was email and phone calls.

## BY MR. MCCABE:

Q. Within those emails and phone calls, was there ever -- or do you recall people bringing up an irreparable harm?

MR. VALENTE:

Objection, to the extent that the contents of those communications are privileged. And in particular, because that's a legal term, I'm going to object and -- and instruct the witness not to answer on attorney-client privilege grounds.

#### BY MR. MCCABE:

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- Q. In those communications, were people -- were -the people giving you information, was there a
  communication about negative things that would
  happen because of what Robert -- because of
  Robert Wolfe's disclosure?
- A. The general theme is that it was confidential information that shouldn't be put out in the public, and if so, it could cause harm. Or --
- Q. Was there a spec -- sorry, go ahead.
- A. Never mind. I was going to say, that or any other confidential information he had. At that point, we weren't sure what he would put out, so it was important. The confidentiality component is -- was the key to people's concerns.
- Q. Was it more a concern of what had been

1	disclosed or what could be disclosed?
2	A. I would say both.
3	(Technical difficulties.)
4	MR. VALENTE:
5	Are you frozen, Dan?
6	THE WITNESS:
7	I think he is.
8	MR. VALENTE:
9	Is the court reporter on? Are we
10	frozen or is Mr. McCabe frozen?
11	THE COURT REPORTER:
12	I'm here. He seems to be frozen.
13	MR. VALENTE:
14	Got it. Okay, I appreciate that.
15	Mr. McCabe, are you Dan, are you
16	there?
17	THE COURT REPORTER:
18	Not yet, he's still frozen for me.
19	THE VIDEOGRAPHER:
20	All right. Let's go off the record.
21	Time is 12:00 p.m. We're off the record.
22	(Off the record.)
23	THE VIDEOGRAPHER:
24	We're back on the record, time is
25	12:49 p.m.

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## 30(b)(6)

- which meetings took place. I know for a lot of companies, March of 2020, there was a lot of emergency meetings because of COVID. So the corresponding times, I'm trying to figure out if any decision would've been made at a special meeting, if it occurred.
- A. I don't recall a special meeting. I'd have to look at minutes to see when the meetings occurred during that time period.
- Q. Do you know when the board decided to stop the Vermont litigation, was there any sort of cost benefit analysis of continuing it?
- A. Define cost benefit analysis. Like you mean some calculation somewhere, or just a general
- Q. Just a general, why the board at that point decided to stop the litigation?
- A. Right. So it's my understanding that the -because of all of the economic disruption and
  uncertainty that there was surrounding the
  COVID situation, and trying to maximize our
  funding to focus on scientific endeavors, and
  the fact that we weren't anywhere close to
  settling, the company felt that it was in it's
  best interest to stop pursuing this case due to

- 1 time, effort and money.
  - Q. Was the board concerned about Robert Wolfe disclosing more confidential information at that point?
- 5 A. Well, when you say "at that point," do you mean that they weren't concerned about it before?
  - Q. Earlier in your testimony -- I'm not trying to characterize this incorrectly, I'm just bringing you back to before the break.
  - A. Uh-huh.

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- Q. My understanding was your communications with the board members prior to the Vermont litigation being filed, was -- the concern was two parts. One, was Serhat Gumrukcu's safety, and the other was disclosure of confidential information.
- A. Correct.
- Q. At the time the board decided to discontinue the litigation, were they less concerned about the disclosure of confidential information?
  - A. I don't think that the confidential information ever became less worrisome. It's just that there are times where you have to make business decisions to move forward with something or to stop something. And because of the economic

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### 30(b)(6)

- uncertainty and all the other issues
  surrounding COVID with business disruption,
  they just felt that it was appropriate to try
  to move forward and not continue to pursue
  this.
- Q. Was there significant concerns for Serhat Gumrukcu's safety at that point?
- A. Well, I think based on their decision to pay for security, there's always been a safety concern.
- Q. I'm sorry, let me rephrase the question.

  Serhat's safety was a concern at the time of the initial filing. But the decision to discontinue the Vermont case, was there still a concern that Robert Wolfe's disclosure would put Serhat's safety in danger?
- A. I don't think that position changed. It's about the pursuit of the legal case that changed. I think that the company still felt that they had good standing for what they originally initiated. It's just sometimes you just have to -- business decisions require you to make tough decisions. And this was one of them. They felt that the money spent -- to discontinue -- to discontinue the money that is

- continuing to be spent, and to focus on things
  that were more important to the future of the
  organization.
- Q. And do you have any estimate about how much money was spent on the Vermont litigation?
- 6 A. The first one?
- 7 Q. Yes.

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- 8 A. Don't hold me to it, but close to around \$300,000, give or take.
  - Q. Since -- I'm sorry. I took a drink and then interrupted you taking one. Since the Orange County litigation was dismissed, has there been any specific harm that's occurred because of the disclosure in the Danish complaint?
  - A. Harm to whom?
- 16 Q. Harm to Enochian BioSciences US.
  - A. Well, I think that our position is that any confidential information that's put out in the public domain is harmful to us, because it can never be taken back. So that harm wouldn't really go away one way or the other. I mean the harm's been done, right?
    - Q. So if we say that the harm was the disclosure, was there any other specific harm that flowed from the disclosure, any time after the Orange

- 1 | County dismissal?
- A. Outside of what I just said, I'm not personally aware or have knowledge or something that I can point to.
- Q. Can you point to anything during the pendency of the Orange County litigation?
  - A. I'm sorry, can I point anything to what?
    - Q. Can you point to any specific harm that occurred while the Orange County litigation was pending?
      - MR. VALENTE:
        - Objection.
    - A. It would still be the same thing, right?

      Confidential information was put out into public domain, and that is harmful in itself.
- 16 BY MR. MCCABE:

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- 17 Q. Is there anything subsequent to that -- that
  18 disclosure being put out, that was harmful to
  19 the company?
- 20 A. I can't pinpoint anything specific.
- Q. And would that be the same answer for Enochian
  APS as well? I wasn't clear which company I
  was talking about.
- 24 A. Yeah, it would be the same for both.
- Q. At the board meeting prior to filing the

Vermont action, was it ever discussed what the affect on Bob's reputation would be from the filing?

#### MR. VALENTE:

Objection.

- A. I'm not aware that there was ever a conversation about his reputation when it came to this. It was a business decision based on the fact that confidential information had been disclosed, and what could we do to mitigate the risk of that getting out further, or any other confidential information getting out. But that wasn't a decision point, I don't believe.
- 14 BY MR. MCCABE:

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- 15 Q. Was anybody on the board frustrated with Bob?
- 16 A. Frustrated is a subjective word. I don't know what you mean by that.
  - Q. In your communications with the different board members, did anybody ever express that they were angry with what he had done?
- 21 A. You're saying for -- with my communication, 22 specifically?
- 23 Q. Correct.
- A. I never heard anybody say that they were angry with him.

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Q. I'm going to upload another exhibit. Maybe I'm not -- if you could reset your Exhibit share please.

(Exhibit 6 was marked for identification.)

A. Okay.

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- 7 BY MR. MCCABE:
  - Q. This is a report prepared by Weaver Group. Do you know who commissioned this report to be completed?
  - I became familiar with this report during this Α. I had never seen this before. litigation. It's my understanding in preparing for this, that Olie Albigard [sic] commissioned this, but it was not by request of us or Enochian. didn't request it. Quite frankly, I never saw this till this litigation. I've never seen this report before. I do understand that maybe there were one or two other individuals at Enochian that may have, but I never saw this report. And it's my understanding that it was not commissioned by us. It was not approved by It was not paid by us, and we never did anything with this.
  - Q. What's Olie Albigard, am I saying his name

- Let me read it. Just that first sentence, 1 Α. first bullet? 2.
- 3 Ο. The five bullets there.
- Okay. Okay, I've read it. I don't Α. 4 specifically remember focusing on this -- like 5 I said, I was shown the report that it existed, 6 7
  - Q. Would you agree that it's a business risk assessment for Enochian BioSciences?

I didn't really read it in depth.

10 MR. VALENTE:

11 Objection. I mean, you can answer.

- We didn't commission this, so regardless of Α. what it says, we didn't commission this report. So I can't opine on the motivation or
- 15 background on what's here.
- 16 BY MR. MCCABE:

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- 17 And this report, was it ever shown to the Ο. 18 board?
- 19 Α. I wasn't aware of it. And it's my 20 understanding that outside of one or two other 2.1 privy people, that most people aren't aware of 22 this report.
- 23 Q. And the one or two other people, is Rene Sindley and who else? 2.4
- 25 Mark Dybul. Α.

- Q. Do you know if either Rene Sindlev or Mark
  Dybul took any action based on this report?
  - A. It's my understanding that this report, no one took action on Enochian's behalf.
  - Q. Do you know if anyone took action on any personal behalf?
  - A. I'm --

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#### MR. VALENTE:

Objection, that's outside of the company's knowledge.

A. I don't know.

#### MR. VALENTE:

- Yeah, it's outside of the topic, I guess you can answer if you know.
- 15 A. I have no idea. Again, I didn't even know this 16 report existed until this litigation happened.
- 17 BY MR. MCCABE:
  - Q. Do you know if Rene Sindlev or Mark Dybul distributed this report to anyone?
- 20 A. To my recollection, I don't know. I'm not aware that they have.
- Q. Does Enochian BioSciences Incorporated continue any contracts with Weaver Group?
- 24 A. No.
- Q. Is there any specific reason why not?

Robert Wolfe and Crossfield, Inc. v. Enochian BioSciences, Inc. et al., Civil Action No. 2:21-cv-00053-cr (D. Vt.)

WITNESS: Luisa Puche (Rule 30(b)(6) Deposition)

**DATE OF DEPOSITION:** June 24, 2022

# **DEPOSITION ERRATA SHEET**

Page No.:	Line No.:	Change or Correction and Reason:
11	24	Change "Whitter" to "Wittekind" (Correction)
12	15	Change "Whitter" to "Wittekind" (Correction)
12	20	Change "Whitter" to "Wittekind" (Correction)
12	23	Change "Whitter" to "Wittekind" (Correction)
13	15	Change "Whitner" to "Wittekind" (Correction)
22	24	Change "trading, there was. Code of Ethics, I believe" to "trading policy was in place. I believe the Code of Ethics was also in place." (Clarification)
24	20	Change "in from 2018" to "from 2018" (Correction)
24	25	Change "that where" to "where" (Correction)
28	19	Change "write" to "prepare" (Clarification)
29	8	Change "but that" to "it is my understanding that there were deficiencies with Mr. Wolfe's original drafts of SEC filings, not with Enochian's overall process for SEC filings. (Clarification)
29	8	Change "is my understanding" to "That is my understanding" (Clarification)
30	17	Change "So I did" to "I did" (Clarification)
61	23	Change "any" to "anything" (Correction)
74	12	Change "that, that" to "that that" (Correction)
77	3	Change "that this" to "that with respect to this" (Clarification)
103	1	Change "council" to "counsel" (Correction)
104	1	Change "little bit more" to "little bit more noticeable" (Clarification)

DocuSigned by:	
SIGNATURE: Luisa Puche	DATE: 8/8/2022
LUISA PUCHE	